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CONFIRMATION NO. 7974

Bib Data Sheet

FILING OR 371(c) **ATTORNEY** DATE **GROUP ART UNIT CLASS** DOCKET NO. SERIAL NUMBER 03/11/1998 3624 705 09/038,158 10392-46001 RULE **APPLICANTS** STEVEN M. H. WALLMAN, GREAT FALLS, VA; ** CONTINUING DATA ******************* ** FOREIGN APPLICATIONS ************** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 05/27/1998 Foreign Priority claimed INDEPENDENT **TOTAL** STATE OR SHEETS 35 USC 119 (a-d) conditions Q ves 2 no Met after **CLAIMS CLAIMS DRAWING** COUNTRY met 13 VA Verified and Acknowledged **ADDRESS** 23838 TITLE METHOD AND APPARATUS FOR ENABLING SMALLER INVESTORS OR OTHERS TO CREATE AND MANAGE A PORTFOLIO OF SECURITIES OR OTHER ASSETS OR LIABILITIES ON A COST EFFECTIVE BASIS All Fees 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of FEES: Authority has been given in Paper FILING FEE No. ______to charge/credit DEPOSIT ACCOUNT time) **RECEIVED** No. for following: ☐ 1.18 Fees (Issue) 910 Other ☐ Credit